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## UTILITY PATENT APPLICATION **TRANSMITTAL**

USP2322C-DRSH Attorney Docket No. Baodu XU and Yanhua TU First Inventor Spiral Cold Electrode Fluorescent Lamp Title

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Express Mail Label No. | EL 745707169 US

<u> </u>							1010			
	TION ELEMENTS		ADD	RESS TO: Box	Patent A	pplicati				
See MPEP chapter 600 concerning utility patent application contents.				Was	shington,	DC 20	231			
1. Fee Transmittal Fo	orm (e.g., PTO/SB/17) duplicate for fee processing)		7.	CD-ROM or CD-R in Computer Program (			e table or			
2. Applicant claims si See 37 CFR 1.27.				leotide and/or Amino Amplicable, all necessary	cid Seque		ubmission			
3. Specification (preferred arrangement	Specification [Total Pages 14 ] [Total Pages [14]]			a. Computer Readable Form (CRF)						
- Descriptive title of the invention			b. Specification Sequence Listing on:							
<ul> <li>Cross Reference to Related Applications</li> <li>Statement Regarding Fed sponsored R &amp; D</li> </ul>			i. CD-ROM or CD-R (2 copies); or							
- Reference to sequence listing, a table,			ii. 🔲 paper							
or a computer program listing appendix - Background of the Invention			c. Statements verifying identity of above copies							
- Brief Summary			A	CCOMPANYING	APPLIC	ATIC	ON PARTS			
- Brief Description - Detailed Descrip	n of the Drawings ( <i>if filed</i> ) ption		9.	Assignment Papers						
<ul><li>Claim(s)</li><li>Abstract of the</li></ul>	Disclosure		10.	37 CFR 3.73(b) Sta		Г	Power of			
	11	1.	11.	(when there is an a			J Attorney			
4. ✓ Drawing(s) (35 U	.S.C. 173) [ Total Sheets	ן ן	12.	Information Disclos	sure	(,, 0	Copies of IDS			
S. Same Section ( ) Start ages [ ]			Statement (IDS)/PTO-1449 Citations  13. Preliminary Amendment							
a. Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) b. (for continuation/divisional with Box 18 completed)			Return Receipt Postcard (MPEP 503)							
b. (for continuation/divisional with Box 18 completed) i. DELETION OF INVENTOR(S)			(Should be specifically itemized)							
Signed statement attached deleting inventor(s)			15. Certified Copy of Priority Document(s) (if foreign priority is claimed)							
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).			16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35							
6. Application Data Sheet. See 37 CFR 1.76			or its equivalent, 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0							
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,										
or in an Application Data She		Supply a	ne requ	isite imonination below	ana m a p	<i>J</i> 1 G 1 1 11 1	iary amendment,			
Continuation	Divisional Continuation-in-part (	CIP)	o	of prior application No.:	/					
Prior application information:	Examiner:		_	Group Art Unit:						
	ONAL APPS only: The entire disclosure									
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.										
19. CORRESPONDENCE ADDRESS										
Customer Number or Bar Co	ode Label ([insert@ustomer/No.or/Affa	ch barcod	əlabelhə	or 🗸	Correspon	dence ad	ddress below			
Name	Raymond Y. Chan									
	108 N. Ynez Ave., Suite 128									
Address					,					
City	Monterey Park	St	ate	CA	Zip (	Code	91754			
Country	USA	Teleph	one	626-571-9812	Fá	ax	626-571-9813			
Name (Print/Type)	Raymond, Y. Chan		Regi	stration No. (Attorne)	//Agent)		37,484			
Signature	MATORIONE				Date	01/	36/124			

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SE FEE TRANSMITTAL	Complete if Known
nder the Paperwork Reduction Act of 1995, no persons are required to re	Approved for use through 07/31/2 U.S. Patent and Trademark Office; U.S. DEPARTMI espond to a collection of information unless it displays a valid

Application Number for FY 2004 Filing Date Baodu XU and Yanhua TU First Named Inventor Effective 10/01/2003. Patent fees are subject to annual revision. **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 **Art Unit** 

(\$) 434.00 **TOTAL AMOUNT OF PAYMENT** USP2232C-DRSH Attorney Docket No.

Attorney Bocket No. 1961 22020 Briton						
METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check Credit card Money Other None	3. ADDITIONAL FEES					
Deposit Account:		Large Entity   Small Entity				
Deposit	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account Number	1051	130	2051		Surcharge - late filing fee or oath	
Deposit Account	1052	50	2052	25	Surcharge - late provisional filing fee or	
Name	1053	130	1053	130	cover sheet Non-English specification	
The Director is authorized to: (check all that apply)  Charge fee(s) indicated below  Credit any overpayments		2,520	1812		For filing a request for ex parte reexamination	
Charge fee(s) indicated below Credit any overpayments  Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to	
Charge fee(s) indicated below, except for the filing fee					Examiner action	<del></del>
to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	
1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month	
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	<u> </u>
Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254	1,480	2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utiliby filing fee	1255	2,010	2255	1,005	Extension for reply within fifth month	<b></b>
1002 340 2002 170 Design filing fee 385.00	1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal	<u> </u>
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 385.00	1452	110	2452	55	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453	1,330	2453	665	Petition to revive - unintentional	
Fee from	1501 1502	1,330	2501		Utility issue fee (or reissue)	
Total Claims 21 -20** = 1 X 9 = 9.00		480	2502		Design issue fee	
		640	2503		Plant issue fee	
Claims -3" - Multiple Dependent	1460	130	1460		Petitions to the Commissioner	
Large Entity   Small Entity	1807	50	1807		Processing fee under 37 CFR 1.17(q)	
Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt Recording each patent assignment per	
Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20	8021	40	8021	1 40	property (times number of properties)	40.00
1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be	
1204 86 2204 43 ** Reissue independent claims					examined (37 CFR 1.129(b))	$\vdash$
over original patent	1801		2801		Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination     of a design application	
SUBTOTAL (2) (\$) 9.00	Other	fee (sp	ecify) _			
**or number previously paid, if greater; For Reissues, see above	*Redu	uced by	Basic I	Filing F	ee Paid SUBTOTAL (3) (\$) 0.00	

SUBMITTED BY	(Complete	(Complete (if applicable))			
Name (Print/Type)	Raymend Y. Chan	Registration No. (Attorney/Agent)	37,484	Telephone	626-571-9812
Signature	KULETTON	>		Date	01/30/200K

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## In the United Stat's Patent and Trad mark Office

Commissioner of Patents Mailed: January 30, 2004 P.O. Box 1450, Alexandria, VA22313-1450 Attorney Docket: USP2322 C-DRSH Sir: Please file the following enclosed patent application papers: Applicant #1 Name: Baodu XU Applicant #2 Name: Yanhua TU Title: Spiral Cold Electrode Fluorescent Lamp Specification, Claims, and Abstract: Nr. Of Sheets ☑ Declaration: Date Signed: 11/23/2003 ☑ Drawing(s): Nr. Of Sheets Enc.: (In Triplicate): Formal: Informal: ☑ The applicant claims small entity status. See 37 CFR 1.27 Assignment; please record and return; recordal fee enclosed. **\$** 394.00 for filing fee (not more than 3 independent claims and 23 total claims) □ \$ 40.00 Additional of Assignment is enclosed for recordal. ☐ The Commissioner is authorized to charge or credit any over payment to the following deposit account: David and Raymond Patent Firm Account No.: 502111 Very respectfully, Signature Raymond Y. Chan Reg. Nr.: 37,484 108 N. Ynez Ave., Suite 128, Monterey Park, CA 91754 Certificate of Mailing Express Mail No.: El 745707169 US Date: 01/30/2004 I hereby certify that this paper or fee is being deposited with the United States Postal Service using "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to "Commissioner of Patents, P.O. Box 1450, Alexandria, VA22313-1450".

Name of Person Signing: Raymond Y. Chan